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JC17 Re PCT/PTO 16 JUN 2005

File 231/1/146

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Harold Keith BRAID et al  
SERIAL NO. : UNASSIGNED (PCT/GB2003/005351)  
FILED : JUNE 16, 2005  
FOR : A MODULAR SPRING MOUNTING FOR A SASH WINDOW  
COUNTERBALANCE ARRANGEMENT

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Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

Richard M. Goldberg June 16,  
(Signature and Date) 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Applicant and those individuals involved in the  
preparation and/or prosecution of the above-identified  
Application have become aware of the following references which  
the Examiner may consider material to the patentability of the  
above-identified Application:

<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>DATE</u>
5,353,548	Westfall	10-11-1994
5,365,638	Braid et al	11-22-1994
6,393,661	Braid et al	05-28-2002
6,412,144	Braid et al	07-02-2002

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.R./

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JC17 Rec 16 JUN 2005

PATENT NO.

COUNTRY

DATE

2 278 626	Great Britain	12-07-1994
2 295 634	Great Britain	06-05-1996
2 369 644	Great Britain	06-05-2002
2 380 758	Great Britain	04-16-2003

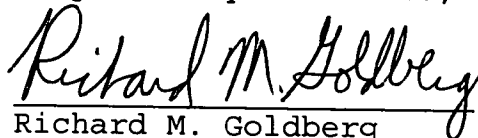
The relevance of the patents is discussed in the enclosed International Search Report as well as on pages 1-2 of the specification.

Copies of the four foreign patents are enclosed. However, copies of the U.S. Patents are not submitted herewith, in accordance with the notice in the United States Patent and Trademark Office OG Notices: 05 August 2003.

One Form PTO/SB/08A is enclosed, which lists the above references. It is requested that the Examiner initial this Form and return a copy thereof to the undersigned.

It is requested that the above-identified references be made of record in the present Application.

Respectfully submitted,



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Attorney for Applicants  
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PTO/SB/OBA (07-13)

Approved for use through 07/31/2006 OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

10/539269

Application Number

Filing Date

June 16, 2005

First Named Inventor

Harold Keith BRAID
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Art Unit

Examiner Name

Attorney Docket Number

231/1/146

Sheet 1

of	1
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
		GB 2 278 626	12-07-1994		
		GB 2 295 634	06-05-1996		
		GB 2 369 644	06-05-2002		
		GB 2 380 758	04-16-2003		

**Examiner  
Signature**

/Jerry Redman/

Date  
Considered

01/26/2010

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.R./